

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventor(s): Mousseau, et al.
Appl. No.: 10/617,909
Confirm. No.: 4228
Filed: July 11, 2003
Title: SYSTEM AND METHOD FOR
IMPLEMENTING J2EE CONNECTOR
ARCHITECTURE

PATENT APPLICATION

Art Unit: 2131
Examiner:

Customer No. 23910

CERTIFICATE OF TRANSMISSION/MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being electronically transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

(Thomas K. Plunkett/_____) (Attorney Signature)
Thomas K. Plunkett, Reg. No. 57,253
Signature Date: February 14, 2007

**TRANSMITTAL LETTER RE INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.56**

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56. If this is a continuation, divisional or continuation-in-part application, it is understood that the Examiner will consider all information which was considered by the Office in a parent application. MPEP §609. Such information therefore is not listed herein unless it is desired that the information be printed on a patent issuing from the subject application.

Enclosed with this statement are the following:

- ☒ Form PTO-1449. The Examiner is requested to initial the form and return it to the undersigned in accordance with M.P.E.P. §609.
- ☒ As allowed under 37 C.F.R. § 1.98(a)(2)(ii), no copies of the U.S. patents and U.S. patent application publications are enclosed, unless required by the office.
- ☐ As allowed under 37 CFR §1.98(d), copies of cited documents noted with an asterisk are not enclosed because they were previously submitted in U.S. Patent Application No. _____, which is relied on for an earlier effective filing date under 35 USC §120, and which included an Information Disclosure Statement that complies with 37 CFR §1.98(a) through (c).

___ A copy of an International Search Report dated _____ for Application No. _____.

- ✓ If any of the cited/submitted documents is in a foreign language, a concise explanation of relevance is provided pursuant to 37 C.F.R. § 1.98(a)(3)(i). For foreign language documents cited in a search report by a foreign patent office, the requirement for a concise explanation of relevance is satisfied by the submission herewith of an English language version of the search report. MPEP §609A(3). If a written English-language translation of a non-English language document, or portion thereof, is within the possession, custody or control of, or is readily available to any individual designated in §1.56(c), a copy of the translation accompanies this statement, 37 C.F.R. §1.98(a)(3)(ii), and satisfies the requirement for a concise explanation of relevance, MPEP §609A(3).

This statement should be considered because:

✓ 37 C.F.R. §1.97(b). This statement qualifies under 37 C.F.R. §1.97, subsection (b) because:

- (1) It is being filed within three months of the filing date of an application other than a continued prosecution application under § 1.53(d);
-- OR --
- (2) It is being filed within 3 months of entry of a national stage;
-- OR --
- (3) It is being filed before the mailing date of the first Office Action on the merits,
-- OR --
- (4) It is being filed before the mailing date of the first Office Action after the filing of a Request for Continued Examination under 37 C.F.R. §1.114.

___ 37 C.F.R. §1.97(c). Although it may not qualify under subsection (b), this statement qualifies under 37 C.F.R. §1.97, subsection (c) because:

- (1) It is being filed before the mailing date of a FINAL Office Action, a Notice of Allowance, or an action that otherwise closes prosecution in the subject application, whichever occurs first.
-- AND (check at least one of the following) --
___ (1) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e).
-- OR --
___ (2) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).

___ 37 C.F.R. §1.97(d). Although it may not qualify under subsection (b) or (c), this statement qualifies under 37 C.F.R. §1.97, subsection (d) because:

- (1) It is being filed on or before payment of the Issue Fee;
-- AND --
- (2) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e);
-- AND --
- (3) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).

___ **PTA Statement under 37 C.F.R. §1.704(d).** Each item of information contained in the *Information Disclosure Statement* was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the *Information Disclosure Statement*.

— 37 C.F.R. §1.97(e)(1). THE UNDERSIGNED ATTORNEY HEREBY STATES THAT each item of information contained in this *Information Disclosure Statement* was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this *Information Disclosure Statement*; or

— 37 C.F.R. §1.97(e)(2). THE UNDERSIGNED ATTORNEY HEREBY STATES THAT no item of information contained in this *Information Disclosure Statement* was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this statement after making reasonable inquiry, no item of information contained in this *Information Disclosure Statement* was known to any individual designated in §1.56(e) more than three months prior to the filing of this statement.

✓ **Fee Authorization.** The Commissioner is hereby authorized to charge any deficiencies or credit any overpayment to Deposit Account No. 06-1325.

Respectfully submitted,

FLIESLER MEYER LLP

Date: February 14, 2007

By: /Thomas K. Plunkett/
Thomas K. Plunkett
Reg. No. 57,253

FLIESLER MEYER LLP
650 California Street, 14th Floor
San Francisco, California 94108
Telephone (415) 362-3800
Customer No. 23910

Form PTO-1449 (Substitute)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Attorney Docket Number BEAS-01076US1	Application/Patent Number 10/617,909
Information Disclosure Statement BY APPLICANT (Use several sheets if necessary)		Applicant/Patent Owner Mousseau, et al.	
		Filing/Issue Date July 11, 2003	Group Art Unit 2131

U.S. PATENTS

Examiner Initial		Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date
	1.	5,613,060	03/18/1997	Britton	714	15	
	2.	5,765,171	06/09/1998	Gehani	707	203	
	3.	5,909,689 A	06/01/1999	Van Ryzin	707	203	
	4.	6,018,805 A	01/25/2000	Ma	714	4	
	5.	6,122,629	09/19/2000	Walker	707	8	
	6.	6,134,673 A	10/17/2000	Chrabaszcz	714	13	
	7.	6,389,462	05/14/2002	Cohen	709	218	
	8.	6,425,005	07/23/2002	Dugan	709	223	
	9.	6,438,705 B1	08/20/2002	Chao	714	4	
	10.	6,505,241	01/07/2003	Pitts	709	218	
	11.	6,523,130 B1	02/18/2003	Hickman	714	4	
	12.	6,775,703	08/10/2004	Burns	709	228	
	13.	6,826,601	11/30/2004	Jacobs	709	217	
	14.	6,944,785 B2	09/13/2005	Gadir	714	4	

U.S. PATENT PUBLICATIONS

Examiner Initial		Patent Application Publication Number	Publication Date	Applicant
	15.	2001/0042073	11/15/2001	Saether et al.
	16.	2002/0073188	06/13/2002	Rawson
	17.	2003/0041135	02/27/2003	Keyes et al.
	18.	2003/0233433	12/18/2003	Halpern
	19.	2004/0059735 A1	03/25/2004	Gold et al.

Form PTO-1449 (Substitute)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Attorney Docket Number BEAS-01076US1	Application/Patent Number 10/617,909
Information Disclosure Statement BY APPLICANT (Use several sheets if necessary)		Applicant/Patent Owner Mousseau, et al.	
		Filing/Issue Date July 11, 2003	Group Art Unit 2131

U.S. PATENT PUBLICATIONS				
Examiner Initial		Patent Application Publication Number	Publication Date	Applicant
	20.	2004/0153558 A1	08/05/2004	Gunduc et al.
	21.	2006/0168118	07/27/2006	Godlin et al.
	22.	2006/0212453	09/21/2006	Eshel et al.

PENDING U.S. PATENT APPLICATIONS					
Examiner Initial		Application Number	Filing Date	First Named Inventor	Petition to Expunge? Yes / No

FOREIGN PATENT DOCUMENTS							
Examiner Initial		Document Number	Publication Date	Country	Class	Subclass	Translation Yes / No

OTHER DOCUMENTS (Include author (if any), title, publisher and place of publication, date and pertinent pages)	
23.	Newport, Billy, JMX, 2001, http://www.theserverside.com/articles/article.tss?1=JMX
24.	Kooijmans, "Enterprise JavaBeans for z/OS and OS/390 WebSphere Application Server V4.0; 2001, p. 31-78, 185-240.
25.	Bainbridge, "CICS and Enterprise JavaBeans," 2001, v. 40, no. 1, p. 1-19.

Examiner	Date Considered
<p>*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p> <p>**1 = Copy not submitted because it was submitted in prior application SN <u> </u> / <u> </u>, filed <u> </u>, 20<u> </u>, relied on under 35 USC §120.</p> <p>**2 = Copy not submitted because it was submitted in prior application SN <u> </u> / <u> </u>, filed <u> </u>, 20<u> </u>, relied on under 35 USC §120.</p>	